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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. 7,111,993
Issue Date: September 26, 2006
Applicants: Keiichi Mori
Serial No.: 10/803,359
Filing Date: March 18, 2004
Title: Optical Monitor Module

Examiner: Dhin D. Chiem

Art Unit: 2883

Certificate

APR 04 2007

March 28, 2007

of Correction

Attn: Certificate of Corrections Branch
Commissioner of Patents
P.O. Box 1450
Alexandra, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION
UNDER 37 CFR §1.322 & 35 U.S.C. 254

Sir:

A review of the above-identified U.S. Letters Patent document has revealed that a typographical error appears in the printed document. Applicant respectfully requests that the following correction be made through a Certificate of Correction, under 37 C.F.R §1.322 and 35 U.S.C 254. A form PTO/SB/44 is attached.

Requested Correction:

Title Page, at INID code (73) Assignee:

"Japan Aviation Electronics Industry Limitede"

should be

-- Japan Aviation Electronics Industry Limited --

APR 04 2007

This error is a typographical error made by the Patent and Trademark Office; therefore, no fee is required. Marked-up copies of the following documents listing the assignee with the correct spelling are enclosed for reference: (1) Issue Fee Transmittal (see Item 3-a); (2) Notice of Recordation of Assignment Document, (3) PTO Form 1449) and (4) Assignment.

We request correction of the error and a certified copy of the enclosed PTO/SB 44 returned to the undersigned counsel for attachment to the original Letters Patent document.

Respectfully submitted,



David N. Lathrop
Reg. No. 34,655
601 California St., Suite 1111
San Francisco, CA 94108-2805
Telephone: (415) 989-8080
Facsimile: (415) 989-0910

Enc. PTO Form PTO/SB 44
Return receipt postcard

Certificate of Mailing Under 37 CFR 1.8

I certify that this "Request for Certificate of Correction" and all enclosed materials are being deposited with the United States Postal Service on March 28, 2007 with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Attn: Certificate of Corrections Branch, P.O. Box 1450, Alexandria, VA 22313-1450.



David N. Lathrop

APR 04 2007

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,111,993
APPLICATION NO.: 10/803,359
ISSUE DATE : 09/26/2006
INVENTOR(S) : Keiichi Mori

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page, at INID code (73) Assignee:

"Japan Aviation Electronics Industry Limitede"

should be

-- Japan Aviation Electronics Industry Limited --

MAILING ADDRESS OF SENDER (Please do not use customer number below):

David N. Lathrop, Esq., Gallagher & Lathrop
601 California St., Suite 1111
San Francisco, CA 94108

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUL 24 2006

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address).

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

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7590

04/24/2006

GALLAGHER & LATHROP, A PROFESSIONAL CORPORATION

601 CALIFORNIA ST

SUITE 1111

SAN FRANCISCO, CA 94108

7/25/2006 FFANAIA3 00000013 070137 10803359

11 FC:1501 1400.00 DA

12 FC:1504 300.00 DA

13 FC:1501 6.00 DA

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Jean C. Reed

(Depositor's name)

Jean C. Reed

(Signature)

July 21, 2004

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/803,359	03/18/2004	Keiichi Mori	KPO194	5837

TITLE OF INVENTION: OPTICAL MONITOR MODULE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	07/24/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
CHIEM, DINH D	2883	385-088000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 David N. Lathrop, Esq.

2 Gallagher & Lathrop

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Japan Aviation Electronics Industry Limited

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 2

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 07-0137 (enclose an extra copy of this form).

5. Change in Entry Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date July 21, 2006

APR 04 2007

Typed or printed name

Larry Mendenhall

Registration No.

38,555

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 14, 2004

PTAS



102703682A

GALLAGHER & LATHROP
DAVID N. LATHROP, ESQ.
601 CALIFORNIA STREET, STE. 1111
SAN FRANCISCO, CA 94108-2805

RECEIVED

SEP 20 2004

601 CALIFORNIA ST.
UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/18/2004

REEL/FRAME: 015122/0202

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MORI, KEIICHI

DOC DATE: 03/05/2004

ASSIGNEE:

JAPAN AVIATION ELECTRONICS
INDUSTRY LIMITED

21-2, DOGENZAKA 1-CHOME, SHIBUYA-
KU
TOKYO, JAPAN

SERIAL NUMBER: 10803359

FILING DATE: 03/18/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: OPTICAL MONITOR MODULE

APR 04 2007

KP0194



03-25-2004



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

3.1804

102703682

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party:

Keiichi Mori

Additional names attached

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Japan Aviation Electronics Industry Limited

21-2, Dogenzaka 1-chome, Shibuya-ku
Tokyo, Japan

3. Nature of Conveyance:

☒ Assignment☐ Change of Name☐ Other:

Execution Date: 03-05-2004

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application Number or Patent Number

If this document is being filed together with a new application, the execution date of the application is: 03-05-2004

Application Number

Patent Number

☐ If checked, additional numbers are attached

5. Name and address of party to whom correspondence concerning document should be mailed:

David N. Lathrop, Esquire
GALLAGHER & LATHROP
A Professional Corporation
601 California Street, Suite 1111
San Francisco, CA 94108-2805

6. Total Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Charge to deposit account (copy attached)

8. Deposit account number: 07-0137

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy or the original document.

Thomas A. Gallagher

Name

Signature

March 18, 2004

Date

Total # pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the US patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Rev. 05/03

Atty. File No.: KPO194

03/22/2004 DEMKAMU1 00000015 10803359

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MAR 04 2004

17510 U.S. PTO
10803359

031804

015122/0202 PAGE 2

SHARON BROOKS, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

APR 04 2007

ASSIGNMENT

I am an inventor of a new invention entitled

"OPTICAL MONITOR MODULE"

by Keiichi MORI, such invention being described in and identified by

- ☒ the patent application filed herewith and executed on March 5, 2004.
- ☐ United States application Serial Number _____ filed on _____.
- ☐ international application number _____ filed under the Patent Cooperation treaty on _____.

JAPAN AVIATION ELECTRONICS INDUSTRY LIMITED, whose address is 21-2, Dogenzaka 1-chome, Shibuya-ku, Tokyo, Japan, hereinafter referred to as ASSIGNEE, is desirous of acquiring my entire right, title and interest in and to said application and said invention, in and to any and all improvements relating to said invention, and in and to each and every Patent thereon, when granted in the United States.

For good and valuable consideration, the receipt of which is acknowledged:

1. I sell, assign, transfer and convey unto ASSIGNEE, my entire right, title and interest:
 - (a) in and to said invention and application, any and all improvements relating to said invention and application, and each and every additional application filed in the United States of America, which additional application is a division, substitution, continuation or continuation-in-part of, is based on or claims priority of said application, or which additional application relates to said invention and improvements;
 - (b) all priority rights associated with the filing of each and every such application, and
 - (c) in and to each and every Patent on said invention and improvements that may be granted, including each and every Patent that may be granted on any of the applications referred to in sub-section (a), and in and to each and every reissue, re-examination or extension of each and every such Patent.
2. I warrant, covenant and represent the fact that I have not heretofore granted any license, right or privilege in the United States of America with respect to said invention or said application to any other, or in any other way encumbered the same, and that I have the full right to sell, assign, transfer and convey, free of all licenses and encumbrances, the entire interest hereby assigned.

APR 04 2007

3. I covenant that, at the request and expense of ASSIGNEE, I will promptly execute all papers necessary or desirable to perfect ownership of said invention, applications and said each and every Patent to ASSIGNEE, and execute all oaths, declarations and other papers necessary or desirable for prosecuting said applications, for use in interference proceedings involving said invention, applications and each and every Patent, for use in opposition proceedings involving said invention, applications and each and every Patent, for refiling said applications, for filing of divisional, substitution, continuation or continuation-in-part applications deemed necessary or desirable by ASSIGNEE, for reissuance or re-examination of said each and every Patent. I further covenant and agree that, at the expense and request of ASSIGNEE, I will promptly assist ASSIGNEE in interference and opposition proceedings involving said invention, applications and each and every Patent, and in litigation involving said invention, applications and each and every Patent, and will assist in the ascertainment of facts and the production of evidence relating to said invention, applications and each and every Patent.

4. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon me, my heirs, legal representatives and assigns.

Keiichi MORI, a resident of Tokyo, Japan

Keiichi Mori

Signature

March 5, 2004

Date

APR 04 2004